

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Daniel P. Becker, et al.

Serial No:

10/657,034

Filed:

September 5, 2003

For:

Aromatic Sulfone Hydroxamic Acids and Their Use as Protease Inhibitors

Confirmation No:

TBA

Art Unit:

TBA

Examiner:

TBA

Attorney Ref:

3316/0A/US

HDP Ref:

6794-000005/DVC

September 26, 2003

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Madam/Sir:

To comply with 37 CFR §1.97 and §1.98, Applicants submit the enclosed supplemental Form HDP-1449. Applicants also submit copies of all documents listed on the Form HDP-1449.

In accordance with MPEP §609 and §707.05(b), Applicants request that each document listed be given thorough consideration, and that the Examiner cite each document of record in the prosecution history of the above-referenced application by initialing the Form HDP-1449 next to each document. Applicants request such initialing even if the Examiner does not consider: (1) a listed document to be sufficiently pertinent to use in a rejection; (2) a document to be prior art for any reason; or (3) that the guidelines for citation have been fully complied with respecting a particular document. Applicants, make this request so that each document becomes cited on the face of a patent issuing from the above-referenced application.

Applicants submit this Information Disclosure Statement in compliance with the duty to disclose information material to patentability under 37 CFR §1.5, but by listing such documents Applicants are not admitting that such documents are necessarily relevant or prior art.

Applicants intend no representation that the listed documents represent the results of a complete search. Applicants anticipate that the Examiner, in the normal course of examination, will make an independent search consistent with 37 CFR §1.104(a) and §1.106(b), and, in the course of

Supplemental Information Disclosure Statement September 26, 2003 Appl. No. 10/657,034

such search, will review for relevance every document listed on the attached form even if not initialed.

Applicants make no representation as to the accuracy of any translation provided with this Information Disclosure Statement.

* * * * * * * *

Applicants request early and favorable consideration. Applicants believe that they do not owe any fee in connection with this Information Disclosure Statement. If, however, Applicants do owe any such fee(s), the Commissioner is hereby authorized to charge the fee(s) to Deposit Account No. **08-0750**. In addition, if there is ever any other fee deficiency or overpayment under 37 C.F.R. §1.16 or §1.17 in connection with this patent application, the Commissioner is hereby authorized to charge such deficiency or overpayment to Deposit Account No. **08-0750**.

Respectfully submitted,

David M. Gryte, PTO Reg. No. 41,809

Harness, Dickey & Pierce 7700 Bonhomme, Suite 400 St. Louis, Missouri 63105 (314) 726-7500 (tel) (314) 726-7501 (fax)

CERTIFICATE OF MAILING <u>UNDER 37 CFR § 1.8</u>

I certify that this correspondence is being deposited with the U.S. Postal Service on September 26, 2003 with sufficient postage as first class mail (including Express Mail per MPEP § 512), and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

DMG/PML



FGM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 3

ATTORNEY DOCKET NO. SERIAL NO.		
3316/0A/US 10/657,034		
APPLICANT		
Daniel P. Becker, et al.		
FILING DATE	GROUP	
September 5, 2003	Unknown	

U.S. PATENT DOCUMENTS						
Ref. Desig	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date

FOREIGN PATENT DOCUMENTS							
Ref.	Examiner's	Document			Class/	Translat	ion
Desig.	Initials	Number	Date	Country	Subclass	Yes	No
35.		WO 99/25687	5/27/99	PCT	C07D		
<i>JJ</i> .		W O 99123061	3121199	rcı	211/66		- }
36.		WO 99/42436	8/26/99	PCT	C07C		
		110 77/42430	8/20/99		239/14		ļ
<i>-</i> 37.		WO 00/46221	08/10/00	PCT	C07D		
-57.					405/12		
38.		WO 00/50396	08/31/00	PCT	C07D		
50.		W O 00/30390	08/31/00	FCI	211/66		
39.		WO 00/59874	10/12/2000	PCT	C07C		
39.		W O 00/390/4	10/12/2000	FCI	259/06		
40.		WO 00/69821	11/23/00	PCT	C07D		
40.		W C 00/09821	11/23/00	FCI	211/66		

OTHE	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials				
41.		Brown, "Synthetic Inhibitors of Matrix Metalloproteinases"; <i>Matrix Metalloproteinases</i> , pp. 243-261 (Academic Press, San Diego, CA, Eds. Park, W.C., & Mecham, R.P., 1998)			
42.		Dack, et al., "Preparation of N-hydroxytetrahydropyridylsulfonylacetamides and related compounds as matrix metalloprotease inhibitors," CA 131:44740 (1999)			
43.		Denis, et al., "Matrix metalloproteinase inhibitors: Present achievements and future prospects," <i>Invest. New Drugs</i> , 15:175-185 (1997)			
44.		Freije, et al., "Molecular cloning and expression of collagenase-3, a novel human matrix metalloproteinase produced by breast carcinomas," <i>J. Biol. Chem.</i> , 269(24), pp. 16766-16773 (1994)			
45.		Gearing, et al., "Processing of tumour necrosis factor-α precursor by metalloproteinases", <i>Nature</i> , 370:555-557 (1994)			

Examiner:	Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



M HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 3

ATTORNEY DOCKET NO.	SERIAL NO.	
3316/0A/US	10/657,034	
APPLICANT		
Daniel P. Becker, et al.		
FILING DATE	GROUP	
September 5, 2003	Unknown	

OTHE	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials				
46.		Gu, et al., "S-Nitrosylation of Matrix Metalloproteinases: Signaling Pathway to Neuronal Cell Death," <i>Science</i> , 297, 1186-1190 (2002)			
47.		Hughes, et al., "Monoclonal antibodies that specifically recognize neoepitope sequences generated by 'aggrecanase' and matrix metalloproteinase cleavage of aggrecan: application to catabolism <i>in situ</i> and <i>in vitro</i> ," <i>Biochem. J.</i> , 305, 799-804 (1995)			
48.		Kenyon, et al., "A Model of Angiogenesis in the Mouse Cornea," <i>Investigative Ophthalmology & Visual Science</i> , Vol. 37, No. 8:1625-32 (July 1996)			
49.		Knight, et al., "A novel coumarin-labelled peptide for sensitive continuous assays of the matrix metalloproteinases," FEBS Lett., 296(3):263-266 (1992)			
50.		Kuzmic, et al., "High-throughput screening of enzyme inhibitor: simultaneous determination of tright-binding inhibition constants and enzyme concentration," <i>Anal. Biochem.</i> , 286, 45-50 (2000)			
51.		Luckow, et al., "Insect Cell Expression Technology", <i>Protein Enginerring: Principles and Practice</i> , pp. 183-218 (John Wiley & Sons, Inc., New York, NY, Edited by J.L. Cleland et al., 1996)			
52.		Luckow, et al., "Efficient generation of infectious recombinant baculoviruses by site-specific transposon-mediated insertion of foreign genes into a baculovirus genome propagated in escherihia coli," <i>J. Virol.</i> , 67(8):4566-4579 (1993)			
53.		McClure, et al., "Matrix metalloprotease (MMP)-13 selective inhibitors for treatment of arthritis deformans and other MMP-related diseases," CA 131:125454 (1999) [CA Plus Accession No. 1999:468334]			
54.		McGeehan, et al., "Regulation of tumour necrosis factor-α processing by a metalloproteinase inhibitor," <i>Nature</i> , 370:558-561 (1994)			
55.		Mitchell, et al., "Cloning, expression, and type II collagenolytic activity of matrix metalloproteinase-13 from human osteoarthritic cartilage," <i>J. Clin. Invest.</i> , 97(3):761-768 (1996)			
56.		Rasmussen, et al., "Matrix metalloproteinase inhibition as a novel anticancer strategy: a review with special focus on batimastat and marimastat," <i>Pharmacol. Ther.</i> , 75(1):69-75 (1997)			
57.		Reboul, et al., "The new collagenase, collagnease-3, is expressed and synthesized by human chondrocytes but not by synoviocytes," <i>J. Clin. Invest.</i> , 97(9):2011-2019 (1996).			
58.		Schwartz, et al., "Synthetic inhibitors of bacterial and mammalian interstitial collagenases," <i>Prog. in Med. Chem.</i> , 29:271-334 (1992).			
59.		Tang, "ADAMTS: a novel family of extracellular matrix proteases," <i>Int'l J. Biochem. Cell Biol.</i> , 33, 33-44 (2001)			

Examiner:	Date Considered:

FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 3 of 3

ATTORNEY DOCKET NO.	SERIAL NO.
3316/0A/US	10/657,034
APPLICANT	
Daniel P. Becker, et al.	
FILING DATE	GROUP
September 5, 2003 Unknown	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials			
60.		Woessner, "The Matrix Metalloproteinase Family," <i>Matrix Metalloproteinases</i> , pp. 1-14 (Academic Press, San Diego, CA, Eds. Parks, W.C. & Mecham, R.P., 1998)		

Examiner:	Date Considered:

09-29-03

PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Parent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TA TRADE **Application Number** 10/657,034 TRANSMITTAL September 5, 2003 Filing Date **FORM First Named Inventor** Daniel P. Becker, et al. (to be used for all correspondence after initial filing) **TBA** Group Art Unit TBA **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 3316/0A/US (6794-000005/DVC) ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): FORM HDP-1449 Terminal Disclaimer Express Abandonment Request 26 References Request for Refund **Return Receipt Postcard** Supplemental Information CD, Number of CD(s) Disclosure Statement Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David M. Gryte, PTO Reg. No. 41,809 Harness, Dickey & Pierce, P.L.C Individual name Signature Date September 26, 2003 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 September 26, 2003 on this date: Typed or printed name September 26, 2003 Date Signature